

## CRS-E: Self-Certification for Tax Residency - Entities

Punjab National Bank, and its branches (PNB) are required to obtain confirmation of tax information, including tax residency of the Account Holder as part of their procedures. PNB may be also required to report certain information with respect to the Account Holder's financial account(s) to the tax authority of the country where the Account Holder's account(s) is maintained. These obligations arise under regulations enacted in the countries where PNB operates.

### Part 1 - Identification of Account Holder

CID: .....

Legal Name of Entity/Branch: .....

Current Entity Residence Address:

Street: .....

..... City: .....

Emirate/State: ..... Country: .....

### Part 2 - Entity type

Please indicate the type of your entity

1) Financial Institution - Investment Entity:

- a. Financial Institution (Depository Institution, Custodial Institution, Specified Insurance Company or Investment Entity in a CRS Participating Jurisdiction).
- b. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.

2) Non-Financial Entity:

- a. Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation. Please give the name of the established stock market on which the company's shares are regularly traded:

.....  
If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (Part 1) is a Related Entity of:

- b. Active NFE – a Government Entity or Central Bank
- c. Active NFE – an International Organization
- d. Active NFE – other than (a), (b) or (c)
- e. Passive NFE

**If you have selected 1(a), please complete Part 5**

**If you have selected 1(b), please complete Part 3, 4 and 5**

**If you have selected 2(a), 2(b) or 2(c), please complete Part 5**

**If you have selected 2(d), please complete Part 3 and 5**

**If you have selected 2(e), please complete Part 3, 4 and 5**

**Part 3 - Country of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent ("TIN")**

Please list all jurisdictions in which your entity is treated as tax resident and provide tax identification number for each one. If your entity is tax resident in more than two countries, please use a separate form.

Country of Tax Residency	Taxpayer Identification Number ("TIN")	Reasons for not providing TIN (enter reason A or B)
Unite Arab Emirates		

- Reason A -** The country in where you are tax resident does not issue TINs to its residents  
**Reason B -** You are otherwise unable to obtain/disclose a TIN or equivalent number. Please explain why you are unable to obtain a TIN in the line below if you have selected this reason:

**Part 4 - Controlling Person (CP) tax residency self-certification (please complete this section only if you have selected 1(b) or 2 (e) in Part 2 - Entity Type)**

Please complete this table with the information related to all the Controlling Persons (see appendix 1 for the definition) of the account holder identified in part 1 of this document. If there are more than 4 Controlling Persons, please use a separate sheet.

<b>Controlling Person 1</b>	First Name:		Last Name:		
	Date of birth: <input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> / <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>		Country of Birth:	City of Birth:	
	<b>Current Residence Address:</b>				
	Building Name:		Villa/Flat No:	Street:	
	City:		Emirate/State:	Country:	
	Country of tax residence:		TIN:	Reasons for not providing TIN (A or B, refer to Part 3):	
	Type of Controlling Person (see Appendix 2):				

<b>Controlling Person 2</b>	First Name:		Last Name:		
	Date of Birth: <input type="text" value="d"/> <input type="text" value="d"/> / <input type="text" value="m"/> <input type="text" value="m"/> / <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>		Country of Birth: India	City of Birth:	
	<b>Current Residence Address:</b>				
	Building Name:		Villa/Flat No:	Street:	
	City:		Emirate/State:	Country:	
	Country of tax residence:		TIN:	Reasons for not providing TIN (A or B, refer to Part 3):	
	Type of Controlling Person (see Appendix 2):				

<b>Controlling Person 3</b>	First Name:		Last Name:		
	Date of Birth: <input type="text" value="d"/> <input type="text" value="d"/> / <input type="text" value="m"/> <input type="text" value="m"/> / <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>		Country of Birth:	City of Birth:	
	<b>Current Residence Address:</b>				
	Building Name:		Villa/Flat No:	Street:	
	City:		Emirate/State:	Country:	
	Country of tax residence:		TIN:	Reasons for not providing TIN (A or B, refer to Part 3):	
	Type of Controlling Person (see Appendix 2):				

<b>Controlling Person 4</b>	First Name:		Last Name:		
	Date of Birth: <input type="text" value="d"/> <input type="text" value="d"/> / <input type="text" value="m"/> <input type="text" value="m"/> / <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>		Country of Birth:	City of Birth:	
	<b>Current Residence Address:</b>				
	Building Name:		Villa/Flat No:	Street:	
	City:		Emirate/State:	Country:	
	Country of tax residence:		TIN:	Reasons for not providing TIN (A or B, refer to Part 3):	
	Type of Controlling Person (see Appendix 2):				

**Part 5 - Declarations and Signature**

I declare that I have read and understood the contents of this form and the attached instructions and confirm that all statements made in this declaration are to the best of my knowledge and belief, true, accurate and complete. In the event any of the above information differs from PNB's records, I request PNB to update such records to reflect the information provided on this from

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with PNB setting out how PNB may use and share the information supplied by me.

I acknowledge, and undertake to inform the Controlling Persons identified herein, that I have provided the above information to PNB in order for PNB to comply with its legal obligations on reporting and exchanging financial information, and that the information provided by me will be stored by PNB until it is required for this purpose. I further acknowledge, and undertake to inform the Controlling Persons, that the information contained in this form and information in relation to the Account Holder and any Reportable Account may be reported to the local tax authority and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident.

I certify that I am authorized to sign for the Account Holder in respect of all account(s) to which this form relates and have provided evidence of such authority.

The Account Holder shall notify PNB within reasonable timeframe if there is a change of circumstances that affects the tax resident status of the Account Holder identified in this document or causes the information contained herein to become incorrect or incomplete (including any charges to the information on Controlling Persons identified in Part 4). In such circumstances the Account Holder agrees to provide an updated self-certification document.

**Authorized Signatory:**

Full Name: NITIN Gulab Pherwani .....

Title: Manager .....

Signature: .....

Date:   /   /

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Full Name: .....

Title: .....

Signature: .....

Date:   /   /

## **APPENDIX 1 – Type of Controlling Person**

- a. Controlling Person of a legal person – control by ownership
- b. Controlling Person of a legal person – control by other means
- c. Controlling Person of a legal person – senior managing official
- d. Controlling Person of a trust – settlor
- e. Controlling Person of a trust – trustee
- f. Controlling Person of a trust – protector of the trustee
- g. Controlling Person of a trust – beneficiary
- h. Controlling Person of a trust – other
- i. Controlling Person of a legal arrangement other than a trust – settlor (equivalent)
- j. Controlling Person of a legal arrangement other than a trust – trustee (equivalent)
- k. Controlling Person of a legal arrangement other than a trust – protector of the trustee (equivalent)
- l. Controlling Person of a legal arrangement other than a trust – beneficiary (equivalent)
- m. Controlling Person of a legal arrangement other than a trust – other (equivalent)